

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FĮLING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO	. CONFIRMATION NO.	
09/920,554	08/01/2001		Graeme John Proudler	B-4240 618934-9	4232	
22879	7590 03/26/2007			EXAMINER		
HEWLETT PACKARD COMPANY P O BOX 272400, 3404 E. HARMONY ROAD						
INTELLEC	TUAL PRO	PERTY ADMINIS	· ART UNIT	PAPER NUMBER		
		80527-2400				

DATE MAILED: 03/26/2007

Please find below and/or attached an Office communication concerning this application or proceeding.

•	Application No.	Applicant(s)	
Notification of Non-Compliant Appeal Brief	09/920,554	PROUDLER, GRAEME JOHN	
(37 CFR 41.37)	Examiner	Art Unit	
•	Zachary A. Davis	2137	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

The Appeal Brief filed on <u>27 December 2006</u> is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file anamended brief or other appropriate correction (see MPEP 1205.03) within ONE MONTH or THIRTY DAYS from the mailing date of this Notification, whichever is longer. **EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.**

1. 🔲	The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.
2. 🛛	The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).
3. 🗀	At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).
4. 🛛	(a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function unde 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
5. 🛚	The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi))
6. 🔯	The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).
7. 🗌	The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
8. 🗌	The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner and relied upon by appellant in the appeal, along with a

statement setting forth where in the record that evidence was entered by the examiner, as an appendix

The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR

See Continuation Sheet.

10. Other (including any explanation in support of the above items):

thereto (37 CFR 41.37(c)(1)(ix)).

41.37(c)(1)(x)).

EMMANUEL L. MOISE SUPERVISORY PATENT EXAMINER

Continuation Sheet (PTOL-462).

Continuation of 10. Other (including any explanation in support of the above items):

Regarding item 2 above, although the appealed claims are listed, there is no statement of the status of the claims.

Regarding item 4a above, although the brief repeats the independent claims verbatim, the brief does not contain an actual summary or concise explanation of the subject matter of each of the independent claims.

Regarding item 5 above, the item listed as "Issue 1" to be reviewed on appeal, namely the objection to the abstract, is not appealable subject matter. It is not a ground of rejection of the claims, and therefore is not subject to appeal. Petition to the Director may be taken from the objection as per 37 CFR 1.181(a)(1), subject to the requirements of 37 CFR 1.181 as a whole. See also MPEP § 1201.

Regarding item 6 above, because the objection to the abstract is not subject to appeal as detailed in reference to item 5, arguments pertaining to the objection of the abstract should not be included.